

Sheet 1 of 2

Atty. Docket No.
4116Serial No.:
09/929,693THIRD
LIST OF REFERENCES CITED BY
APPLICANT

(REVISED FORM PTO-1449)

DATED: October 2, 2002

Applicant: Ernst Spengler

U.S. Filing Date :
August 13, 2001Art Unit:
1732

U. S. PATENT DOCUMENTS

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| *EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | Cl. | Sub- Cl. | Fil. Date |
|----------------------|------------------|---------|-----------------------|-----|-------------|--------------|
| GRK | AE 4 1 4 8 9 5 6 | 04/1979 | Breitenfellner et al. | - | - | - |
| GRK | AF 4 1 6 7 5 9 5 | 09/1979 | Peters et al. | - | - | - |
| GRK | AG 4 4 1 8 1 0 6 | 11/1983 | Landler et al. | - | - | - |
| GRK | AH 4 4 7 8 6 6 0 | 10/1984 | Landler et al. | - | - | - |
| GRK | AI 4 5 7 6 8 6 0 | 03/1986 | Fink et al. | - | - | - |
| GRK | AJ 4 7 5 1 1 4 1 | 06/1988 | Fink et al. | - | - | - |
| GRK | AK 4 7 6 7 6 6 7 | 08/1988 | Schneider et al. | - | - | - |
| GRK | AL 4 8 9 2 6 0 5 | 01/1990 | Schneider et al. | - | - | - |
| GRK | AM 5 4 8 2 7 6 6 | 01/1996 | Mathavan et al. | - | - | - |
| GRK | AN 5 6 1 1 9 8 2 | 03/1997 | Mathavan et al. | - | - | - |
| GRK | AO 5 8 1 1 0 4 6 | 09/1998 | Dous et al. | - | - | - |
| GRK | AP 5 8 5 8 4 0 4 | 01/1999 | Dous et al. | - | - | - |
| GRK | AQ 6 3 3 3 0 9 4 | 12/2001 | Schneider et al. | - | - | - |

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|-----|------------------|---------|-------------------------|-----|-------------|--------|----|
| | | | | | | Yes | No |
| GRK | AR 8 7 0 6 2 0 4 | 10/1988 | Germany (Utility Model) | - | - | | x |
| GRK | AS 3 7 1 4 3 6 5 | 11/1988 | Germany | - | - | Abst | |
| GRK | AT 3 7 1 4 3 6 6 | 11/1988 | Germany | - | - | Abst | |
| GRK | AU 3 7 1 4 3 6 7 | 11/1988 | Germany | - | - | Abst | |
| GRK | AV 8 7 0 9 5 8 2 | 12/1988 | Germany (Utility Model) | - | - | | x |
| GRK | AW 3 7 2 3 0 2 1 | 1/1989 | Germany | - | - | Abst | |

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**THIRD
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| GRK | AX | 3 | 8 | 3 | 4 | 6 | 0 | 7 | 4/1990 | Germany | - | - | Abst | | |
| GRK | AY | 3 | 8 | 3 | 4 | 6 | 0 | 8 | 4/1990 | Germany | - | - | Abst | | |
| GRK | AZ | 3 | 8 | 3 | 4 | 6 | 2 | 0 | 4/1990 | Germany | - | - | Abst | | |
| GRK | AA2 | 3 | 8 | 3 | 4 | 6 | 4 | 8 | 4/1990 | Germany | - | - | Abst | | |
| GRK | AB2 | 4 | 0 | 0 | 7 | 8 | 7 | 6 | 9/1991 | Germany | - | - | Abst | | |
| GRK | AC2 | 4 | 0 | 0 | 7 | 8 | 7 | 7 | 9/1991 | Germany | - | - | Abst | | |
| GRK | AD2 | 4 | 0 | 3 | 0 | 4 | 7 | 7 | 4/1992 | Germany | - | - | Abst | | |

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DATED: January 30, 2001**

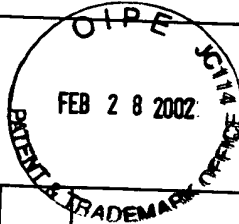
Atty. Docket No.
4116

Serial No.:
09/929,693

Applicant: Ernst Maximilian SPENGLER

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| *EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | Cl. | Sub- Cl. | Fil. Date |
|----------------------|------------------|---------|----------|-----|----------|--------------|
| GRK | AC 6 1 3 6 4 1 5 | 10/2000 | Spengler | - | - | - |

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|-----|----------------------|---------|---------|-----|-------------|-----------------|----|
| | | | | | | Yes | No |
| GRK | AD WO 01 / 4 1 9 9 9 | 06/2001 | PCT | - | - | x (Abstract) | |

OTHER DOCUMENTS

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Atty. Docket No.
4116Serial N .:
09/929,693

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APPLICANT
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DATED Nov. 13, 2001

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| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | Cl. | Sub- Cl. | Fil. Date |
|----------------------|----|---------------|---------|-----------------|-----|-------------|--------------|
| GRK | AA | 5 7 0 2 8 1 0 | 12/1997 | Koseki et al. | - | - | - |
| GRK | AB | 5 9 7 6 2 8 9 | 11/1999 | Kawakubo et al. | - | - | - |

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**FOURTH
LIST OF REFERENCES CITED BY
APPLICANT
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DATED: March 6, 2002**

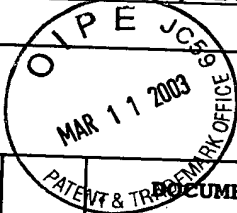
Atty. Docket No.
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August 13, 2001

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U. S. PATENT DOCUMENTS

| *EXAMINER INITIAL | PATENT & TRADEMARK OFFICE | DOCUMENT NO. | DATE | NAME | Cl. | Sub- Cl. | File Date |
|----------------------|---------------------------|---------------|---------|-----------------|-----|-------------|--------------|
| GRK | AE2 | 4 5 5 5 3 8 0 | 11/1985 | Munakata et al. | - | - | - |

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| | | DOCUMENT NO. | DATE | COUNTRY | Cl. | Sub- Cl. | Trans. | |
|-----|-----|---------------|---------|---------|-----|-------------|--------|----|
| | | | | | | | Yes | No |
| GRK | AF2 | 0 4 5 0 4 8 2 | 10/1991 | Europe | - | - | Abst | |
| GRK | AG2 | 0 9 5 1 9 8 4 | 10/1999 | Europe | - | - | =AC | |

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